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07-16-01

U.S. Patent and Ti

PTO/SB/05 (11-00) ed for use through 10/31/02. OMB 0651-0032 Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION

Attomey Docket No. 256602000600 Venkatraman RAMAKRISHNAN First Inventor CRYSTAL STRUCTURE ANTIBIOTICS BOUND TO THE 30S RIBOSOME AND ITS Title

TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No EL 569 177 142 US

Express Mail Label No.: EL 569 177 213 US Date of Deposit: July 13, 2001 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner to Patents, Washington, D.C. 20231.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

| | (Mary Cher | | | | | |
|---|--|---|--|--|--|--|
| APPLICATION See MPEP chapter 600 concerning | N ELEMENTS g utility patent application contents. | Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231 | | | | |
| 1. Fee Transmittal Form (e.g. PT (Submit an original, and a duplicate for fee 2. Applicant claims small entity see 37 CFR 1.27. 3. Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications of the set of the Invention of the Statement Regarding Fed sponsore Reference to sequence listing, a tate computer program listing appendix Background of the Invention - Brief Summary of the Invention - Brief Summary of the Davings (III) - Detailed Description of the Davings (III) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. Drawing(s) (35 USC 113) 5. Oath or Declaration | e processing) tatus. [Total Pages *44] · stions td R & D ole, or a | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statements verifying identify of above copies ACCOMPANYING APPLICATION PARTS 9. Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement (where there is an assignee) 11. English Translation document (if applicable) | | | | |
| a. Newly executed (i b. Copy from a prior (for continuation/dh/s) i. DELETION 2 Signed statem | original or copy) application (37 CFR 1.63(d)) stonal with Box 18 completed) DF INVENTOR(S) ent attached deleting inventor(s) named in the prior a 37 CFR 1.63(d)(2) and 1.33(b) | (IDS)/PTO-1449 Citations 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. Very Other Statement to Support filing and submission in accordance | | | | |
| with 37 CFR 1.82 and 1.85 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet und CFR 1.76: CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application information: Examiner* Group / Art Unit: * For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an eath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the sub | | | | | | |
| application parts. Customer Number or Bar Code Label | 25 | DENCE ADDRESS or Correspondence address below 226 DEMARK OFFICE | | | | |
| Name Catherine Morrison B Morrison B Address 755 Page City Palo Alto Country US | Foerster, LLP | | | | | |
| Name (Print/Type) CatherIne M. Polizzi Registration No. (Attorney/Agent) Signature Date July 13, 2001 | | | | | | |

PTO/SB/17 (09-00)

oved for use through 10/31/2002. OMB 0651-0032

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FEE TRANSMITTAL FOR FY 2001

C mplet if Known TO BE ASSIGNED Application Number **HEREWITH** First Named Inventor Venkatraman RAMAKRISHNAN Examiner Name TO BE ASSIGNED Group Art Unit TO BE ASSIGNED

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)1946 256602000600 Attorney Docket No.

| | | | | | | |
|--|--------------------|-----------------------------------|----------------------|-----------------------|--|--|
| METHOD OF PAYMENT | | FEE CALCULATION (continued) | | | | |
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | | DDITIONAL F | EES | • | | |
| Deposit Account Number Deposit | Larg Fee Cod | Fee | Small Fee Code | Entity Fee (\$) | Fee Description Fee Paid | |
| Account Name Morrison & Foerster LLP | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| <u> </u> | | | | | Surcharge - late provisional filing | |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1 | | 50 | 227 | 25 | fee or cover sheet | |
| Applicant claims small entity status. See 37 CFR 1.27 | 139 | 130 | 139 | 130 | Non-English specification | |
| 2. Payment Enclosed: | 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| ☐ Check ☐ Credit Card ☐ Money Order ☐ O | her 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| FEE CALCULATION | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1. BASIC FILING FEE | 115 | 110 | 215 | 55 | Extension for reply within first month | |
| Large Entity Small Entity | 116 | 390 | 216 | 195 | Extension for reply within second month | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) Fee | 117 | 890 | 217 | 445 | Extension for reply within third month | |
| Paid | 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 101 710 201 355 Utility filing fee 710 | 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 106 320 206 160 Design filing fee | 119 | 310 | 219 | 155 | Notice of Appeal | |
| 107 490 207 245 Plant filing fee | 120 | 310 - | 220 | 155 | Filing a brief in support of an appeal | |
| 108 710 208 355 Reissue filing fee | 121 | 270 | 221 | 135 | Request for oral hearing | |
| 114 150 214 75 Provisional filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| CURTOTAL (4) (C)740 | 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| SUBTOTAL (1) (\$)710 | | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 2. EXTRA CLAIM FEES Extra Fee from | 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| Claims below Fee Pa | | 440 | 243 | 220 | Design issue fee | |
| Total Claims 22-20 = 2 x 18 = \$36 Independent | 144 | 600 | 244 | 300 | Plant issue fee | |
| Claims 18-3 = 15 x 80 = \$1200 | 122 | 130 | 122 | 130 | Petitions of the Commissioner | |
| Multiple Dependent = \$0 | 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Large Ertity Small Entity Fee Fee Fee Fee Fee Fee Fee Pee Fee Code (\$) Code (\$) | 581 | 40 | 581 | 40 | Recording each patent assignment per properties (times number of properties) | |
| 103 18 203 9 Ctaims in excess of 20 | 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 102 80 202 40 Independent claims in excess | of 3 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 104 270 204 135 Multiple dependent claims, if n | ot paid 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 109 80 209 40 **Reissue independent claims original patent | over 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| 110 18 210 9 **Reissue cialms in excess of 2 over original patent | 0 and | | | | | |
| SUBTOTAL (2) (\$)1236 | Othe | Other fee (specify) | | | | |
| ** or number previously paid, if greater; For reissues, see above. | | *Reduced by Basic Filing Fee Paid | | | SUBTOTAL (3) (\$)0 | |
| SUBMITTED BY | | Elastia | | | Complete (if applicable) | |
| Name (Print/Type) Catherine M. Polizzi | | Registration No Attomey/Agent | | 40,130 | Telephone 650-8135651 | |
| Signature Mulia M | Date July 13, 2001 | | | | | |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Venkatraman RAMAKRISHNAN

Serial No.:

To Be Assigned

Filing Date:

Herewith

For:

CRYSTAL STRUCTURE OF

ANTIBIOTICS BOUND TO THE 30S

RIBOSOME AND ITS USE

Examiner: To Be Assigned

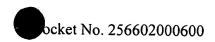
Group Art Unit: To Be Assigned

STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE WITH 37 C.F.R. §§ 1.52 AND 1.58

Box PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

The undersigned hereby states that the contents of the Table 1A, Table 1B, Table 1C, Table 2, Table 3 and Table 4 are filed herewith on the attached duplicate compact discs, submitted in accordance with 37 C.F.R. §§ 1.52 and 1.58 and that the compact discs marked "Copy 1" and "Copy 2" are identical.



Listed below are the particulars of the compact disc:

1. Machine Format: IBM-PC

2. Operating System Compatibility: MS-Windows

- 3. List of files: Table 1A.txt, Table 1B.txt, Table 1C.txt, Table 2.txt, Table 3.txt and Table 4.txt
- 4. Sizes in bytes: Table 1A.txt (3,896KB), Table 1B.txt (4,168KB), Table 1C.txt (3,944KB), Table 2.txt (3,949KB), Table 3.txt (3,947KB) and Table 4.txt (3,947KB)

5. Date of creation for each file: July 12, 2001

Respectfully submitted,

Dated: July 13, 2001

Catherine M. Polizzi Registration No. 40,130

Morrison & Foerster LLP 755 Page Mill Road

Palo Alto, California 94304-1018

Telephone: (650) 813-5651 Facsimile: (650) 494-0792